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Guidelines Breast  
Version 2022.1E

FORSCHEN  
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# Diagnosis and Treatment of Patients with early and advanced Breast Cancer

## Breast Cancer Risk, Genetics and Prevention



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## Breast Cancer Risk and Prevention

- **Versions 2003–2021:**

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Müller-Schimpfle / Mundhenke / Park-Simon / Rhiem / Rody / Schmidt /  
Schmutzler / Stickeler / Thomssen / Witzel

- **Version 2022:**

Dall / Ditsch / Gerber / Rhiem

## Principles of Prevention

- **Women at increased risk for breast cancer are not considered *patients* but *healthy women* or *counselees*.**
- **A comprehensive informed consent taking into consideration all potential side effects and risks is warranted prior to offering preventive measures.**
- **Highest priority: „First, do no harm!“**

*(Primum nil nocere)*

## Indication for Genetic Testing of *BRCA1/2* Genes and Possibly Further Risk Genes?

(Part 1 of 2 – testing according to family history)

**Oxford LoE: 2b GR: B AGO: ++**

### Families with (each from one family branch) at least\*

- three women with breast cancer independent of age or
- two women with breast cancer, one < 50 yrs. (before the 51<sup>st</sup> birthday) or
- one woman affected by breast and one by ovarian cancer or
- one woman affected by breast and ovarian cancer or
- two women affected by ovarian cancer or
- one woman affected by bilateral breast cancer, first before the 51<sup>st</sup> birthday
- one woman affected by breast cancer < 35 yrs. (before the 36<sup>th</sup> birthday) or
- one man affected by breast cancer and one additional relative affected by breast or ovarian cancer

\* Inclusion criteria of the German Consortium of Hereditary Breast and Ovarian Cancer (GCHBOC) based on a *BRCA1/2* mutation prevalence  $\geq 10\%$  tested in 21,401 families. All mutation carriers should be registered in scientific databases, to validate the inclusion and exclusion criteria (including population-based studies).

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## Indication for Genetic Testing of *BRCA1/2* Genes and Possibly Further Risk Genes?

(Part 2 of 2 - testing according to disease)

**Oxford LoE: 2b GR: B AGO: ++**

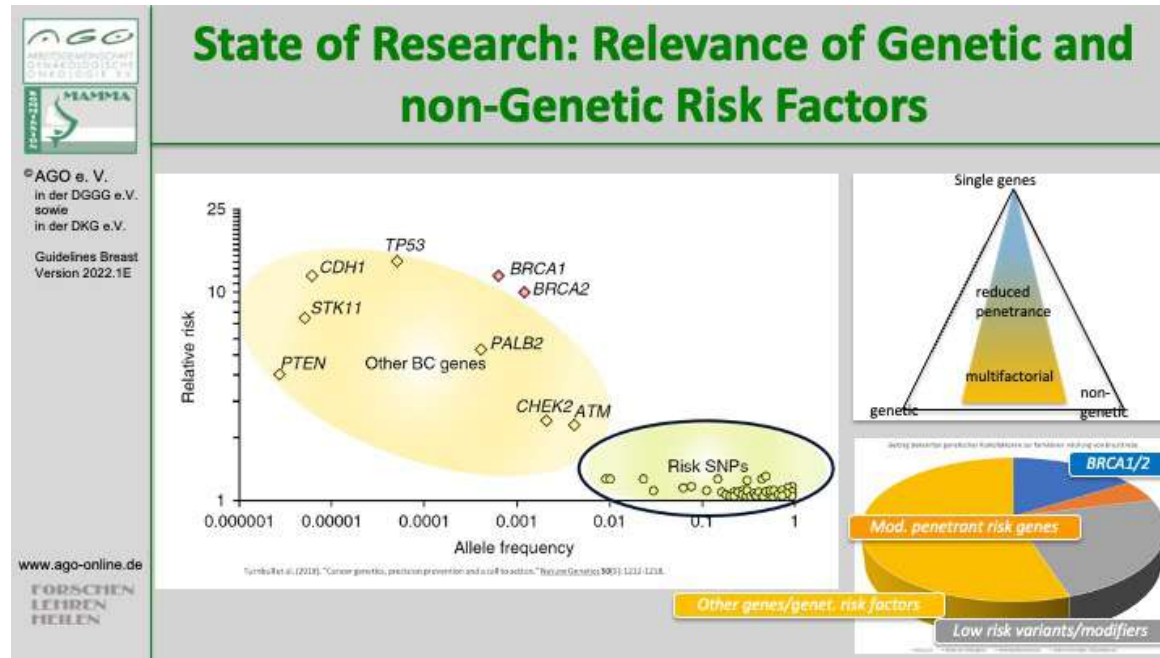
- **Other recommended criteria:**
  - own disease of triple negative breast cancer ≤ 60 yrs. of age
  - own disease of ovarian cancer
  - if therapeutically relevant (e.g. PARPi)

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## Pathogenic Variants with Moderate to High Lifetime Risk for Breast Cancer

### Age-related risks for breast cancer

- high: *BRCA1, BRCA2, PALB2*
- high: *CDH1, PTEN, TP53; STK11<sup>a</sup>*
- moderate: *ATM, CHEK2*
- moderate: *BARD1, RAD51C, RAD51D*

### Clinical benefit\* of a genetic test

- *BRCA1, BRCA2*
- *PALB2*
- *CDH1, PTEN, TP53, STK11*
- *ATM, BARD1, CHEK2, RAD51C, RAD51D*

Oxford		
LoE	GR	AGO
1b	A	++ <sup>o</sup>
3a	B	+ <sup>o</sup>
3b	B	+ <sup>o</sup>
3a	B	+/- <sup>o</sup>

- \* Take into account the effectiveness of preventive measures and competing risks when making clinical decisions.
- \* Participation in prospective registries or studies is highly recommended.

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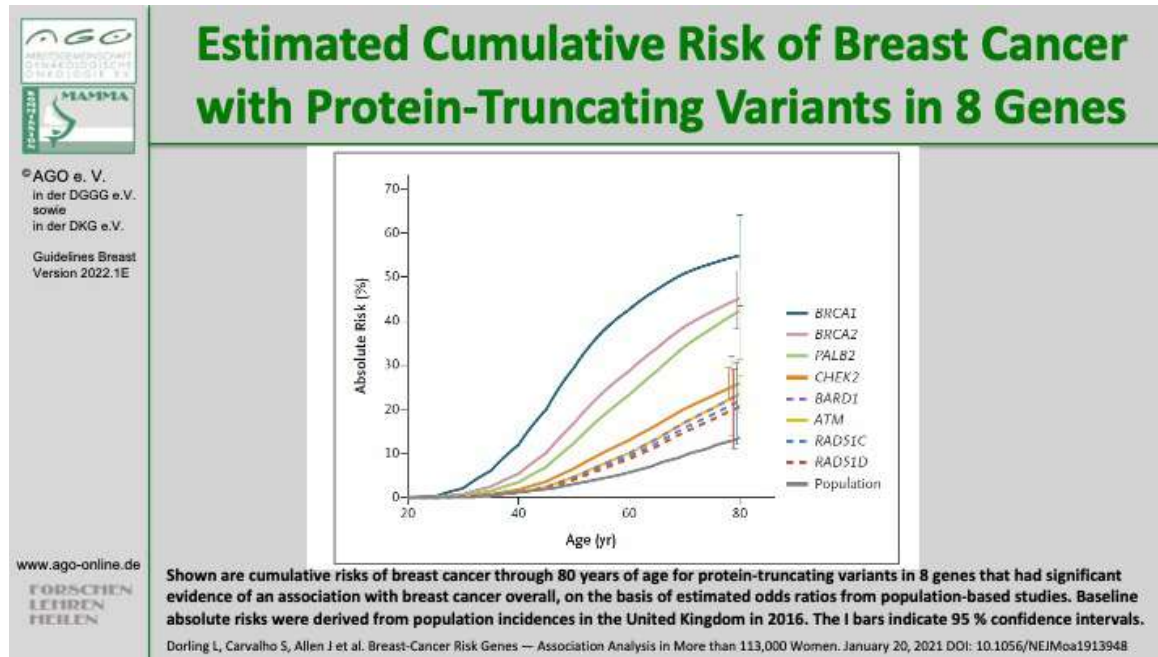
## Breast Cancer Risk Category

Breast cancer risk category


	Near population risk of breast cancer	Moderate risk of breast cancer	High risk of breast cancer
Lifetime risk from age 20	Less than 17%	Greater than 17% but less than 30%	30% or greater
Risk between ages 40 and 50	Less than 3%	3 to 8%	Greater than 8%

**NICE (National Institute for Health and Care Excellence) guidance: Familial breast cancer: classification, care and managing breast cancer and related risks in people with a family history of breast cancer**  
Clinical guideline [CG164] Published: 25 June 2013 Last updated: 20 November 2019





1. Dorling L, Carvalho S, Allen J et al. Breast-Cancer Risk Genes — Association Analysis in More than 113,000 Women. January 20, 2021 DOI: 10.1056/NEJMoa1913948



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## Management of Individuals with Germline Variants in PALB2: A Clinical Practice Resource of the American College of Medical Genetics and Genomics (ACMG)

**Conclusion:**

The recommendations made here have been based on expert opinion using comprehensive literature ascertainment approach, but not systematic review. There is strong evidence that P/LP *PALB2* variants confer a range of breast cancer risks across what is considered moderate to high; consequently, enhanced surveillance and the option of risk-reducing interventions are warranted.

**The risk range for this gene underlies the need to move away from compartmentalizing PALB2 and consider risk to be a continuous variable from high to moderate, influenced by family history, polygenic risk score, and other factors.** The same applies to other breast cancer genes.

Changing this paradigm will allow us to move to personalized risk estimates by placing the risk from the P/LP variant in the context of other risk factors and develop strategies to translate this information to enhance medical management.

There is reasonable evidence that **PALB2** P/LP variants confer a small to moderately increased risk for ovarian cancer that may warrant risk-reducing interventions, albeit their clinical benefit is not sufficiently proven yet with respect to the efficacy of preventive measures to reduce morbidity and mortality. ...

**Given the many uncertainties, those at risk for PALB2-related cancers, and the health professionals who care for them are encouraged to contribute follow-up data to long term studies, thereby facilitating the generation of prospective cancer risk estimates and the evaluation of prevention measures...**

Tischkowitz M, Balmaña J, Foulkes WD, et al. Management of individuals with germline variants in PALB2: a clinical practice resource of the American College of Medical Genetics and Genomics (ACMG). *Genet Med.* 2021;23(8):1416-1423. doi:10.1038/s41436-021-01151-8

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## Current Clinical Impact of Further Risk Genes

- Further moderate and low-risk gene variants are most likely transmitted by an oligo- or polygenic trait.
- The penetrance of such genes depends on the own and family cancer history.
- Individual low-risk variants increase the risk of disease only insignificantly. They have a multiplicative effect, so that the analysis of multiple gene regions (polygenic risk score, PRS) will be of clinical relevance in the future.



	Oxford		
	LoE	GR	AGO
▪ Clinical genetic testing of moderate-risk genes, e.g. gene panels	1b	B	+
▪ Clinical genetic testing for low-risk variants (polygenic risk score)	2b	B	+/-*
▪ Referral to centers of the GC-HBOC or cooperating centers	5	D	+

- Currently, moderately penetrant genes and low-risk variants should only be examined in the context of prospective cohort studies, such as that of the German consortium, in order to assess the clinical benefit.

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 	<h2 style="text-align: center;">Non BRCA-Associated Hereditary Cancer Syndromes with Increased Risk for Breast Cancer</h2>		
<p>© AGO e. V. in der DGGG e.V. sowie in der DKG e.V.</p> <p>Guidelines Breast Version 2022.1E</p> <p>www.ago-online.de</p> <p>FORSCHEN LEHREN HELEN</p>	Syndrome	Gene	Risk for malignancy
	Li Fraumeni	<i>TP53</i>	Breast, endometrium, colorectal, small intestine, stomach, hepato biliary, skin, osteosarcoma, soft tissue sarcoma, urogenital, CNS, ACC, leukemia, lymphoma, lung
	Cowden	<i>PTEN</i>	Breast, endometrium, thyroid, colorectal, kidney, melanoma
	Hereditary diffuse gastric cancer syndrome	<i>CDH1</i>	Hereditary diffuse gastric cancer, lobular invasive breast cancer
	Peutz-Jeghers Syndrome	<i>STK11/LKB1</i>	Colorectal, small intestine, stomach, pancreas, testicle, endometrium
	Lynch	<i>MLH1, MSH2, MSH6, PMS2, EPCAM</i>	Endometrium, ovary, colorectal, small intestine, stomach, hepato biliary, pancreas, kidney, urogenital, CNS
	Ataxia telangiectasia (AT-Syndrom)	<i>ATM</i>	Breast cancer, leukemia, stomach, melanoma, sarcoma
	Franconi Anämie	<i>BRCA2, BRIP1, RAD51C, PALB2</i>	AML, MDS, SCC, medulloblastoma, nephroblastoma, breast, pancreas, ovary

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## Current Version of the TruRisk® BC / OC\* Gene Panel by the German Consortium (GC-HBOC)

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<i>ATM</i>	<i>BARD1</i>	<i>BRCA1</i>	<i>BRCA2</i>	<i>BRIP1</i>	<i>CDH1</i>	<i>CHEK2</i>	<i>PALB2</i>
<i>RADS1C</i>	<i>RADS1D</i>	<i>TP53</i>	<i>EPCAM</i>	<i>MLH1</i>	<i>MSH2</i>	<i>MSH6</i>	<i>PMS2</i>
<i>PTEN</i>	<i>SMARCA4</i>	<i>STK11</i>	<i>APC</i>	<i>FAM175A</i>	<i>FANCC</i>	<i>FANCM</i>	<i>HOXB13</i>
<i>MEN1</i>	<i>MRE11A</i>	<i>MUTYH</i>	<i>NBN</i>	<i>NF1</i>	<i>POLD1</i>	<i>POLE</i>	<i>RAD50</i>
<i>RECQL</i>	<i>XRCC2</i>						

Selection of genes:	11 BC (breast cancer) / OC (ovarian cancer) 'core genes' (Data on risk increase)
	7 other syndrome-associated genes (Lynch, Cowden, Peutz-Jeghers) with suspected BC/OC association
	16 BC / OC candidate genes from scientific projects (validation in the GC-HBOC)
Strategy: Validation in prospective cohort, continuous expansion and improvement	

TruRisk® V3.1.1. \* BC = breast cancer, OC = ovarian cancer





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## Distinct Genetically Subtypes Defines Distinct Tumor Entities

Distinct genetic subtypes of breast cancer may show distinct clinical features. Prior to the offer risk reducing clinical procedures the following facts and data should be addressed:


- Age related disease penetrance?
- Typical histopathological features?
- Sensitivity to current screening modalities?
- Better survival of early detected tumors?
- Natural disease course?
- Response to anti-tumor therapy?



**Genotype-phenotype-correlations must be known before performing preventive clinical measures**

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## VUS: Problems and Questions

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- „A Variant of Unknown Significance (VUS IARC class 3) is a genetic variant with unknown clinical relevance.“ (Plon et al. Hum Mutat 2008)
- Most VUS are extremely rare ( $\leq 3$  variants in  $> 80\%$  of families)
- Classification of sequence variants should be performed according to the IARC classification system
- Frequency of VUS (IARC class 3) increases with numbers of tested genes
- Clinical interpretation and decision making depending on the IARC classification system is not standardized yet
- In silico prediction tools (PolyPhen2, SIFT) are not adequate or sufficient for clinical decision making
- Additional analyses are required, e.g. in vitro splicing assay, functional assay, segregation analysis, co-occurrence analysis, large case / control studies

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## Variant Classification Proposed by IARC

(Plon et al., Human Mutation, 2008)

Proposed Classification System for Sequence Variants Identified by Genetic Testing		
Class	Description	Probability of being pathogenic
5	Definitely pathogenic	> 0.99
4	Likely pathogenic	0.95 – 0.99
3	Uncertain	0.05 – 0.949
2	Likely not pathogenic or of little clinical significance	0.001 – 0.049
1	Not pathogenic or of no clinical significance	< 0.001

Only class 4 and 5 variants are considered clinically relevant.

1. Plon SE, Eccles DM, Easton D, et al. Sequence variant classification and reporting: recommendations for improving the interpretation of cancer susceptibility genetic test results. Human mutation. 2008;29(11):1282-91.



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## Classification of IARC Class 3 Variants

**Requires additional information and analyses, e.g.**

- Co-occurrence data from large data banks
- Segregation analysis
- Functional analysis etc.
- Data should be pooled in large study groups (e.g. ENIGMA)

**\* Most class 3 variants can be downgraded to clinically irrelevant classes 1 or 2 by these analyses. Few are upgraded to the clinically relevant classes 4 or 5. Any re-evaluation of the IARC class should be communicated to the tested persons (see for example the concept of supervision in centres of the German Consortium/GC-HBOC).**

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## Requirements for the Introduction of New Diagnostic or Predictive Genetic Testing\*

- The risk collective is clearly defined by risk criteria.
- The positive predictive value of risk criteria with respect to the identification of the genetic risk factor is known.
- The cut-off values for genetic testing evolved through a transparent consensus process.
- The genetic test is valid and reliable.
- A spectrum bias is excluded or defined.
- A clinical prevention strategy exists that leads to early detection or prevention and mortality reduction of the genetically defined subset of the disease.

\* Acc. to the position paper on risk-adjusted early detection of cancer of the German National Cancer Plan developed under the Federal Ministry of Health, e.g. "Präventive Gendiagnostik - Hoffnung und Fluch der Genanalyse", Heft 26 des Deutschen Ärzteblattes vom 29.06.2012; Dtsch. Ärztebl. 2012; 109(26): A-1371 / B-1183 / C-1163)

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[http://www.bmgbund.de/fileadmin/dateien/Downloads/N/Nationaler\\_Krebsplan/Zielepapier\\_zum\\_Querschnittsthema\\_Risiko-adaptierte\\_Krebsfrueherkennung.pdf](http://www.bmgbund.de/fileadmin/dateien/Downloads/N/Nationaler_Krebsplan/Zielepapier_zum_Querschnittsthema_Risiko-adaptierte_Krebsfrueherkennung.pdf). 2011.
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## Non-Directive Counseling Regarding Preventive Measures

AGO ++

### According to:

- The Genetic Diagnostic Law
- The Medical Devices Act (e.g. risk assessment)
- Application of software for risk calculation requires professional training and experience

### Communicate:

- Absolute cancer risks within a manageable timeframe
- Risk and benefit of a multimodal intensive surveillance program
- Risk and benefit of preventive clinical methods
- Competing risks, e.g. risk of disease progression in relation to risk of a secondary primary in case women already affected by primary breast cancer

**Allow appropriate time for consideration**

1. Phi XA, Houssami N, Hooning MJ et al., Accuracy of screening women at familial risk of breast cancer without a known gene mutation.. Eur J of Cancer 2017;85:31-38

## Multimodal Intensive Surveillance Program\*


		Oxford		
		LoE	GR	AGO
■ Program for BRCA-mutation carriers without BC				
■ For the detection of early stage cancers		2b	B	++
■ Clinical breast exam	≥ 25 years	Semi-annually		
■ Sonographie	≥ 25 years	Semi-annually		
■ Mammogram	≥ 40 years	Bi-annually		
■ Breast MRI	≥ 25 years	Annually		
■ For improvement of metastasis-free interval		2b	B	+
■ Survivors after tumors in childhood and radiotherapy of thoracic wall (e.g. M. Hodgkin)		2a	B	++
* The multimodal early detection program should be carried out for women with a pathogenic mutation in risk genes and those with an increased calculated risk without a mutation within the framework of transparent quality assurance and appropriate evaluation				

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High-Risk Breast Cancer Surveillance with MRI						
	30-39 years		40-49 years		≥ 50 years	
	Detection rate (‰)	PPV (%)	Detection rate (‰)	PPV (%)	Detection rate (‰)	PPV (%)
BRCA1	43.2	29.4	21.8	25.5	30.5	33.3
BRCA2	22.7	23.3	24.3	27.5	16.3	23.5
BRCA1/2-non carriers with high risk	2.9	2.8	7.4	6.8	10.9	13.8
<p>PPV: Positive predictive value</p> <p><b>Detection performance of annual multimodality screening rounds with MRI by risk group and age</b></p> <p>Bick U, Engel C, Krug B, et al. High-risk breast cancer surveillance with MRI: 10-year experience from the German consortium for hereditary breast and ovarian cancer. <i>Breast Cancer Res Treat.</i> 2019;175(1):217–228. doi:10.1007/s10549-019-05152-9</p>						

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## Multimodal Surveillance Program for Female Carriers of Pathogenic BRCA Mutations after Primary Unilateral Breast Cancer

		Oxford		
		LoE	GR	AGO
■ Multimodal intensive surveillance program <sup>o</sup>				
■ For detection of early stage breast cancers		2a	B	++
■ Clinical breast exam	≥ 25 years*	Semi-annually		
■ Sonographie	≥ 25 years*	Semi-annually		
■ Mammogram	≥ 40 years*	Biannually		
■ Breast MRI (until ACR1)	≥ 25 years*	Annually		
■ For mortality reduction (10-year survival)		3a	C	+/-*

<sup>o</sup> Aftercare should be carried out within the framework of transparent quality assurance and corresponding evaluation.  
<sup>\*</sup> or from age at initial diagnosis

1. Leitlinienprogramm Onkologie (Deutsche Krebsgesellschaft, Deutsche Krebshilfe, AWMF): S3-Leitlinie Früherkennung, Diagnose, Therapie und Nachsorge des Mammakarzinoms, Version 4.4, 2021, AWMF Registernummer: 032-045OL, <http://www.leitlinienprogramm-onkologie.de/leitlinien/mammakarzinom/> (abgerufen am: 24.1.2022) Bick U, Engel C, Krug B, et al. High-risk breast cancer surveillance with MRI: 10-year experience from the German consortium for hereditary breast and ovarian cancer. *Breast Cancer Res Treat.* 2019;175(1):217–228. doi:10.1007/s10549-019-05152-9
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## Surveillance for Male Carriers of Pathogenic BRCA Mutations\*

The lifetime risk of breast cancer in the general male population is 0.1 %. **BRCA1** mutation carriers have a risk of breast cancer of about 1 % and an up to 1.8 to 3.75 times higher risk for prostatic cancer ≤ 65y.  
**BRCA 2** mutation carriers have an up to 5–7 % lifetime risk for breast cancer and an up to 2.5 to 8.6 times higher risk for prostatic cancer ≤ 65y.

Currently, no specific surveillance is recommended →  
 Early detection of cancer as part of standard care


- For breast cancer:  
self examination
- For prostate cancer:  
Compare German Guideline program

\* Follow-up care / surveillance should be carried out as part of transparent quality assurance and appropriate evaluation.

Oxford		
LoE	GR	AGO
5	D	+
5	D	+

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16. Leitlinienprogramm Onkologie (Deutsche Krebsgesellschaft, Deutsche Krebshilfe, AWMF): S3-Leitlinie Prostatakarzinom, Kurzversion 6.0, Mai 2021, AWMF Registernummer: 043/022OL, <https://www.leitlinienprogrammonkologie.de/leitlinien/prostatakarzinom/> (abgerufen am: 10.01.2022)



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Guidelines Breast  
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FORSCHEN  
LEHREN  
HEILEN

## Modified Surveillance Program for BRCA-neg. Women at Moderate to High Risk or Survivors of Hodgkin Disease

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**Rationale:**

- **Increased risk of breast cancer after chest irradiation because of Hodgkin lymphoma in childhood (9–18 years).**
- **Increased risk of breast or ovarian cancer in women from BRCA1/2 negative families at risk that is, however, lower than in women from BRCA1/2 positive families.**
- **Referral to centres of the GC-HBOC or cooperating centres for the evaluation of structured surveillance and follow-up.**

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## Surgical Prevention

- **A secondary risk-reducing unilateral or bilateral mastectomy is not indicated without the presence of clearly defined genetic risk factors because it does not lead to a reduction in mortality.**

Oxford		
LoE	GR	AGO
2a	B	+*

\* study participation recommended

1. Kurian AW, Lichtensztajn DY, Keegan TH, et al. Use of and mortality after bilateral mastectomy compared with other surgical treatments for breast cancer in California, 1998-2011. JAMA. 2014;312(9):902-14.
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## Surgical Prevention for Healthy Female *BRCA1/2* Mutation Carriers

	Oxford		
	LoE	GR	AGO
<b>■ Risk-reducing bilateral salpingo-oophorectomy (RR-BSO)**</b>	<b>2a</b>	<b>B</b>	
<ul style="list-style-type: none"> <li>■ Reduces OvCa incidence and mortality</li> <li>■ Reduces overall mortality</li> </ul>			++*
<b>■ Risk-reducing bilateral mastectomy (RR-BM)</b>			
<ul style="list-style-type: none"> <li>■ Reduces BC incidence</li> <li>■ Reduces BC mortality in <i>BRCA1</i> mutation carriers***</li> </ul>	2b 2b	B B	+* +*

\* Study participation recommended  
 \*\* The RRSO is recommended from about 35 years for *BRCA1* and from about 40 years for *BRCA2* mutation carriers, taking into account the age of ovarian cancer diagnosis in the family and the family planning status.  
 \*\*\* No reduction in mortality could be shown for *BRCA2* mutation carriers. RRM counselling should be individualised.

- Domchek SM, Friebel TM, Neuhausen SL, et al. Mortality after bilateral salpingo-oophorectomy in *BRCA1* and *BRCA2* mutation carriers: a prospective cohort study. *Lancet Oncol*. 2006;7(3):223-9.
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- Kotsopoulos J, Huzarski T, Gronwald J, et al: Hereditary Breast Cancer Clinical Study Group. Bilateral Oophorectomy and Breast Cancer Risk in *BRCA1* and *BRCA2* Mutation Carriers. *J Natl Cancer Inst*. 2016 Sep 6;109(1). doi: 10.1093/jnci/djw177. Print 2017 Jan.
- Lostumbo L, Carbine NE, Wallace J. Prophylactic mastectomy for the prevention of breast cancer. *Cochrane Database Syst Rev*.

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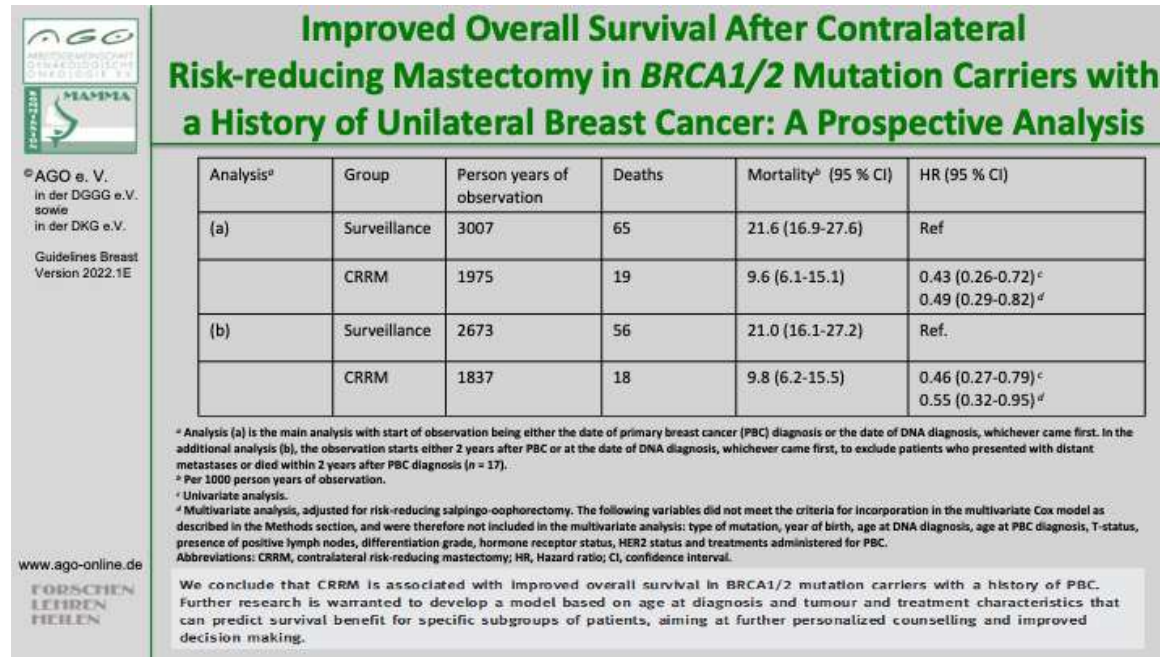
## Risk-reducing Interventions for BRCA1/2 Female Mutation Carriers Affected by Breast Cancer

	Oxford		
	LoE	GR	AGO
<ul style="list-style-type: none"> <li>▪ <b>Risk-reducing bilateral salpingo-oophorectomy (RR-BSO)</b> <ul style="list-style-type: none"> <li>▪ Reduces OvCa incidence and mortality</li> <li>▪ Reduces overall mortality (contradictory results for reduction of cl BC incidence)</li> </ul> </li> </ul>	2b	B	+*
<ul style="list-style-type: none"> <li>▪ <b>Prophylactic contralateral mastectomy (RR-CM)*</b> <ul style="list-style-type: none"> <li>▪ Reduces BC incidence and mortality</li> </ul> </li> </ul>	2b	B	+*
<ul style="list-style-type: none"> <li>▪ <b>Tamoxifen (reduces contralateral BC incidence)</b></li> </ul>	2b	B	+/-*
<ul style="list-style-type: none"> <li>▪ <b>Indication for RR-CM should consider age at onset of first breast cancer in affected gene</b></li> </ul>	2a	B	++*
<ul style="list-style-type: none"> <li>▪ <b>RR-BM after ovarian cancer</b></li> </ul>	4	C	+/-**

\* Study participation recommended  
 \*\* Depends on tumor stage (FIGO I/II), recurrence free interval (≥ 5 yrs.), age

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## Therapy of Germline Mutation-Associated Breast Cancer

### Limited prospective cohort studies with short follow-up time

- Breast conserving surgery: adequate local tumor control (~ 10 years observation)
- Systemic therapy according to sporadic breast cancer
- gBRCA mutation status is predictive for chemotherapy response in TNBC
- Carboplatin (vs. Docetaxel) in metastatic breast cancer

### PARP inhibitor (Her2-negative carcinomas):

- EBC: Olaparib (in case of gBRCA1/2 mutation)\*
- MBC:
  - gBRCA1/2 mutation
    - Olaparib
    - Talazoparib
  - Somatic BRCA1/2 mutation (germline testing is standard)
    - Olaparib
  - gPALB2
    - Olaparib

### Oxford

LoE	GR	AGO
2a	B	+
3a	B	+
2b	B	+
2b	B	+
1b	B	+
1b	A	++
1b	A	++
2b	B	+/-
2b	B	+/-

EBC: Early Breast Cancer; MBC: Metastatic Breast Cancer; \* Use according to study inclusion criteria and approval

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## Medical Prevention for Women at Increased Risk

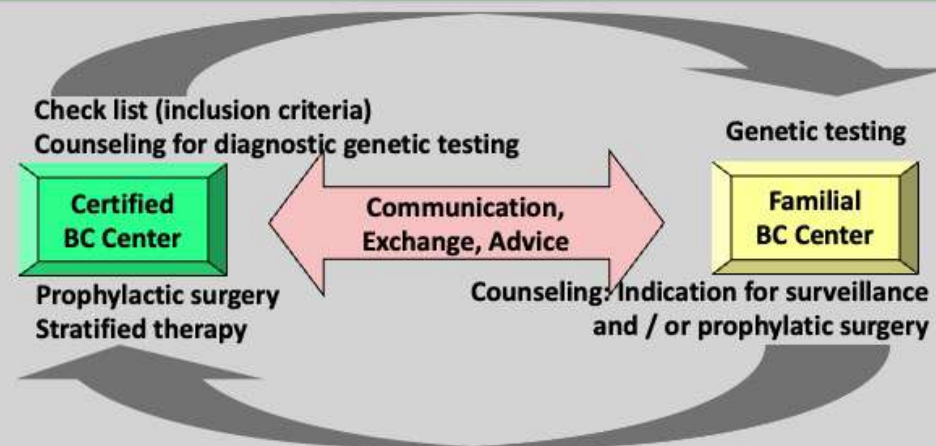
	Oxford		
	LoE	GR	AGO
▪ Tamoxifen for women > 35 years: reduction of invasive BC, DCIS and LN	1a	A	++
▪ Raloxifen for postmenopausal women: reduction of invasive BC only	1b	A	++
▪ AI for postmenopausal women	1b	A	+++

\* Risk situation as defined in NSABP P1-trial (1.66 % in 5 years) or according to #Tyrer-Cuzick model (IBIS-II)  
 \*\* Significant risk reduction was seen for anastrozole for ovarian and endometrial cancer, as well as skin, colorectal, hematologic, thyroid and urinary tract cancers. Chemopreventive regimes should only be offered after individual and comprehensive counseling. The net benefit strongly depends on risk status, age and pre-existing risk factors for side effects.

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## Cooperation of Certified Breast Cancer (BC) Centres (Ctr) with Certified Familial BC Ctr of the GC-HBOC\*



\* trans-sectoral contract for integrated care, acc. to code of social law § 140a since 2015