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Guidelines Breast  
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# Diagnostik und Therapie früher und fortgeschrittener Mammakarzinome

## Prognostische und prädiktive Faktoren



## Prognostische und prädiktive Faktoren

### ■ Versionen 2002–2020:

Costa / Fasching / Fersis / Friedrichs / Gerber / Göhring / Harbeck / Janni / Kolberg-Liedtke / Kreipe / Loibl / Lück / Mundhenke / Nitz / Rody / Schaller / Schmidt / Schmutzler / Schneeweiss / Simon / Solomayer / Thill / Thomssen / Witzel / Wöckel

### ■ Version 2021:

Harbeck / Untch

### Data bases screened

Pubmed 2008 - 2012, ASCO 2003 – 2012, SABCS 2003 – 2012, Cochrane data base (n.d.)

### Guidelines screened

1. St.Gallen/Vienna 2019: Burstein HJ, Curigliano G, Loibl S et al.; Members of the St. Gallen International Consensus Panel on the Primary Therapy of Early Breast Cancer 2019. Estimating the benefits of therapy for early-stage breast cancer: the St. Gallen International Consensus Guidelines for the primary therapy of early breast cancer 2019. Ann Oncol. 2019 Oct 1;30(10):1541-1557.
2. ABC4: Cardoso F, Senkus E, Costa A et al. 4th ESO-ESMO International Consensus Guidelines for Advanced Breast Cancer (ABC 4)<sup>†</sup>. Ann Oncol. 2018 Aug 1;29(8):1634-1657.
3. ABC5 Original Slide Set After Voting – pre-publication – Jan. 2020 (personal communication)
4. NCCN 2019: NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®). Breast Cancer. NCCN Evidence Blocks™. Version 3.2019 – September 6, 2019. [https://www.nccn.org/professionals/physician\\_gls/pdf/breast\\_blocks.pdf](https://www.nccn.org/professionals/physician_gls/pdf/breast_blocks.pdf). Download Jan 19, 2020.
5. ASCO 2016: Harris LN, Ismaila N, McShane LM et al. Use of Biomarkers to Guide Decisions on Adjuvant Systemic Therapy for Women With Early-Stage Invasive Breast Cancer: American Society of Clinical Oncology Clinical Practice Guideline. J Clin Oncol. 2016 Apr 1;34(10):1134-50.
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7. Harbeck N, Penault-Llorca F, Cortes J et al. Breast cancer. Nat Rev Dis Primers. 2019 Sep 23;5(1):66.


## Definition

**Prognostische Faktoren** dienen der Vorhersage des wahrscheinlichen weiteren Krankheitsverlaufs (z.B. krankheitsfreies oder progressionsfreies Überleben, Gesamtüberleben). Die Vorhersage kann durch Therapie beeinflusst werden.

**Prädiktive Faktoren** dienen der Vorhersage eines wahrscheinlichen Therapieeffektes.

### Definition of Prognosis and Prediction

1. Hayes DF, Bast RC, Desch CE et al.: Tumor marker utility grading system: a framework to evaluate clinical utility of tumor markers. J Natl Cancer Inst. 1996 Oct 16;88(20):1456-66.
2. McGuire WL, Clark GM. Prognostic factors and treatment decisions in axillary-node-negative breast cancer. N Engl J Med. 1992 Jun 25;326(26):1756-61.



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## **“Low absolute risk implies low absolute benefit”**

Early Breast Cancer Trialists' Collaborative Group (EBCTCG), Lancet 379: 432-444, 2012

1. Early Breast Cancer Trialists' Collaborative Group (EBCTCG), Lancet 379: 432-444, 2012
2. Peto, R., Davies, C., Godwin, J., et al. 2012. Comparisons between different polychemotherapy regimens for early breast cancer: meta-analyses of long-term outcome among 100,000 women in 123 randomised trials. Lancet 379, 432–444.
3. Nielsen TO, Jensen MB, Burugu S, et al. High-Risk Premenopausal Luminal A Breast Cancer Patients Derive no Benefit from Adjuvant Cyclophosphamide-based Chemotherapy: Results from the DBCG77B Clinical Trial. Clin Cancer Res. 2017 Feb 15;23(4):946-953.



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## Quality Criteria

- **Biological hypothesis**
- **Simple and standardized assessment method, quality assurance (QA) of the test**
- **Prospectively planned statistical evaluation (primary goal)**
- **Validation of clinical significance according to**
  - „Oxford Level of Evidence (LoEOx2001)“ criteria and „Grades of Recommendation (GR)“
  - „Grades of Recommendation (GR)“ as well as modified LoE criteria for the use in archived specimen (LoE2009) and category of tumor marker study (CTS)
- **Clinical relevance for treatment decisions**

<sup>1</sup> Simon et al, J Natl Cancer Inst 101: 1446-1452, 2009

<sup>2</sup> Febbo et al, J Natl Compr Canc Netw 9 Suppl 5: S1-32, 2011

<sup>3</sup> McShane, Hayes, J Clin Oncol 30: 4223 – 4232, 2012

1. Febbo PG, Ladanyi M, Aldape KD, et al. (2011) NCCN Task Force report: Evaluating the clinical utility of tumor markers in oncology. J Natl Compr Canc Netw 9 Suppl 5: S1-32; quiz S33.
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3. McGuire WL, Clark GM. Prognostic factors and treatment decisions in axillary-node-negative breast cancer. N Engl J Med. 1992 Jun 25;326(26):1756-61.
4. Jeremy Howick, Iain Chalmers, Paul Glasziou, et al. Explanation of the 2011 Oxford Centre for Evidence-Based Medicine (OCEBM) Levels of Evidence (Background Document). Oxford Centre for Evidence-Based Medicine.
5. McShane LM, Altman DG, Sauerbrei W et al. (2005) Reporting recommendations for tumor marker prognostic studies. J. Clin. Oncol. 23 (36): 9067–9072. Available:
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## Frühes Mammakarzinom (M0) - eBC Prognosefaktoren I

Faktor	Oxford		
	LoE	GR	AGO
▪ Tumorgröße - pT	1a	A	++
▪ Lymphknotenstatus - pN	1a	A	++
▪ Histologischer Typ (mucinös, tubulär etc.)	2b	B	++
▪ Grading (Elston & Ellis) – G	2a	B	++
▪ Alter	2a	B	++
▪ Histologisch nachgewiesener Einbruch in Lymph- und/oder Blutgefäße (L1, V1)	1b	B	++
▪ pCR nach NACT* bei (Lum B-like, HER2+, TN)	1a	A	++
▪ Erhöhtes Rezidivrisiko bei initial invas.-lob. Typ, cT3/4, N+	2a	B	+/-
▪ Übergewicht (BMI > 30 kg/m <sup>2</sup> )	1b	B	+
▪ Resektionsstatus – R0 / R1	1a	A	+

\* NACT = Neoadjuvante Chemotherapie

### General references

1. Balic M, Thomssen C, Würtle R et al. St. Gallen/Vienna 2019: A Brief Summary of the Consensus Discussion on the Optimal Primary Breast Cancer Treatment. Breast Care (Basel). 2019 Apr;14(2):103-110.
2. Harris LN, Ismaila N, McShane LM et al.: Use of Biomarkers to Guide Decisions on Adjuvant Systemic Therapy for Women With Early-Stage Invasive Breast Cancer: American Society of Clinical Oncology Clinical Practice Guideline. J Clin Oncol. 2016 Apr 1;34(10):1134-50.
3. Febbo PG, Ladanyi M, Aldape KD, et al. (2011) NCCN Task Force report: Evaluating the clinical utility of tumor markers in oncology. J Natl Compr Canc Netw 9 Suppl 5: S1-32; quiz S33.
4. Coates AS, Winer EP, Goldhirsch A, et al. Tailoring therapies--improving the management of early breast cancer: St Gallen International Expert Consensus on the Primary Therapy of Early Breast Cancer 2015. Ann Oncol. 2015 Aug;26(8):1533-46.

### Tumor size

1. Coates AS, Winer EP, Goldhirsch A, et al. Tailoring therapies--improving the management of early breast cancer: St Gallen International Expert Consensus on the Primary Therapy of Early Breast Cancer 2015. Ann Oncol. 2015 Aug;26(8):1533-46.
2. Balic M, Thomssen C, Würtle R et al. St. Gallen/Vienna 2019: A Brief Summary of the Consensus Discussion on the Optimal Primary Breast Cancer Treatment. Breast Care (Basel). 2019 Apr;14(2):103-110.

#### Lymph node status

1. Coates AS, Winer EP, Goldhirsch A, et al. Tailoring therapies--improving the management of early breast cancer: St Gallen International Expert Consensus on the Primary Therapy of Early Breast Cancer 2015. Ann Oncol. 2015 Aug;26(8):1533-46.
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#### Histological type (mucinous, tubular etc.)

1. Dieci MV, Orvieto E, Dominici M. Rare breast cancer subtypes: histological, molecular, and clinical peculiarities. Oncologist. 2014 Aug;19(8):805-13.
2. Horlings HM, Weigelt B, Anderson EM et al. Genomic profiling of histological special types of breast cancer. Breast Cancer Res Treat. 2013 Nov;142(2):257-69.
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#### Tumor grade (Elston & Ellis)

1. Thomas JS, Kerr GR, Jack WJ et al. Histological grading of invasive breast carcinoma--a simplification of existing methods in a large conservation series with long-term follow-up. Histopathology. 2009 Dec;55(6):724-31.
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#### Age

1. Johnson HM, Irish W, Muzaffar M et al. Quantifying the relationship between age at diagnosis and breast cancer-specific mortality. Breast Cancer Res Treat. 2019 Oct;177(3):713-722

2. Liu YR, Jiang YZ, Yu KD et al. Different patterns in the prognostic value of age for breast cancer-specific mortality depending on hormone receptor status: a SEER population-based analysis. *Ann Surg Oncol*. 2015 Apr;22(4):1102-10.
3. Brandt J, Garne JP, Tengrup I et al. Age at diagnosis in relation to survival following breast cancer: a cohort study. *World J Surg Oncol*. 2015 Feb 7;13:33.

#### Histologically proven lymph and/or blood vessel invasion

1. Ryu YJ, Kang SJ, Cho JS et al. Lymphovascular invasion can be better than pathologic complete response to predict prognosis in breast cancer treated with neoadjuvant chemotherapy. *Medicine (Baltimore)*. 2018 Jul;97(30):e11647

#### pCR after NACT\* in Luminal B-like, HER2 and TN Breast Cancer

1. Nekljudova V, Loibl S, von Minckwitz G et al. Trial-level prediction of long-term outcome based on pathologic complete response (pCR) after neoadjuvant chemotherapy for early-stage breast cancer (EBC). *Contemp Clin Trials*. 2018 Aug;71:194-198.
2. Cortazar P, Geyer CE Jr. Pathological complete response in neoadjuvant treatment of breast cancer. *Ann Surg Oncol*. 2015 May;22(5):1441-6.
3. Cortazar P, Zhang L, Untch M et al. Pathological complete response and long-term clinical benefit in breast cancer: the CTNeoBC pooled analysis. *Lancet*. 2014 Jul 12;384(9938):164-72.

#### Increased risk of recurrence in invasive-lobular BC, cT3/4, N+

1. Huober J, Schneeweiss A, Blohmer J-U, et al. Factors predicting relapse in early breast cancer patients with a pathological complete response after neoadjuvant therapy – Results of a pooled analysis based on the GBG meta-database, SABCS 2018; P2-08-01
2. Thomas M, Kelly ED, Abraham J et al. Invasive lobular breast cancer: A review of pathogenesis, diagnosis, management, and future directions of early stage disease. *Semin Oncol*. 2019 Apr;46(2):121-132.

#### Obesity (BMI > 30 kg/m<sup>2</sup>)

1. Chan DSM et al. Body mass index and survival in women with breast cancer—systematic literature review and meta-analysis of 82 follow-up studies *Ann Oncol*. Oct 2014; 25(10): 1901–1914.
2. Xia X, Chen W, Li J et al. Body mass index and risk of breast cancer: a nonlinear dose-response meta-analysis of prospective studies. *Sci Rep*. 2014 Dec 15;4:7480.
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treated with breast-conserving therapy: a meta-analysis. Ann Surg Oncol, 2014. 21(3): p. 717-30.

Resection status (R0 / R1)

1. Harris LN, Ismaila N, McShane LM et al.: Use of Biomarkers to Guide Decisions on Adjuvant Systemic Therapy for Women With Early-Stage Invasive Breast Cancer: American Society of Clinical Oncology Clinical Practice Guideline. J Clin Oncol. 2016 Apr 1;34(10):1134-50.
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## Frühes Mammakarzinom (M0) – eBC

### Prognosefaktoren II

Faktor	Oxford		
	LoE	GR	AGO
▪ ER / PR	2a	B	++
▪ HER2 (IHC, ISH)	2b	B	++
▪ ER / PR / HER2 / Ki-67 zur Abschätzung des molekularen Typs	2b	B	++
▪ uPA / PAI-1 (Femtelle® ELISA) in N0	1a	A	+
▪ Proliferationsmarker			
▪ Ki-67 vor, während oder nach der Behandlung	1a	B	+

#### ER/PR

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#### HER2

1. Ross, J.S., Slodkowska, E.A., Symmans, W.F., et al. 2009. The HER-2 receptor and breast cancer: ten years of targeted anti-HER-2 therapy and personalized medicine. *Oncologist* 14, 320–368.
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#### uPA/PAI-1

1. Harris LN, Ismaila N, McShane LM, et al.: Use of Biomarkers to Guide Decisions on Adjuvant Systemic Therapy for Women With Early-Stage Invasive Breast Cancer: American Society of Clinical Oncology Clinical Practice Guideline. *J Clin Oncol*. 2016 Apr 1;34(10):1134-50.
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#### Ki-67


1. Cheang, M.C.U., Chia, S.K., Voduc, D. et al.: 2009. Ki67 index, HER2 status, and prognosis of patients with luminal B breast cancer. *J. Natl. Cancer Inst*. 101, 736–750. doi:10.1093/jnci/djp082.
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postmenopausal women with endocrine-responsive breast cancer: results from Breast International Group Trial 1-98 comparing adjuvant tamoxifen with letrozole. *J. Clin. Oncol.* 26, 5569–5575.

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#### Post-treatment Ki-67

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2. Ellis MJ, Tao Y, Luo J, et al: Outcome Prediction for Estrogen Receptor-Positive Breast Cancer Based on Postneoadjuvant Endocrine Therapy Tumor Characteristics. *J. Natl. Cancer Inst.* 100:1380-1388, 2008
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4. DeCensi A, Guerrieri-Gonzaga A, Gandini S, et al: Prognostic significance of Ki-67 labeling index after short-term presurgical tamoxifen in women with ER-positive breast cancer. *Annals Oncol* 2011 Mar;22(3):582-7.



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## Reproducibility – Quality assurance is key for clinical decision making

- **ER/PR: concordance central vs local is high (97%; Plan B, SABCS 2014)**
- **Grade: concordance central vs local is 68% (PlanB, JCO 2016)**
- **HER2: frequency of false-positive test results 6% (ASCO /CAP JCO 2013)**
- **Impact of routine pathologic review in N0 BC: 20% changes: grade 40%, LVI 26%, N 15%, margin 12% (JCO 2012)**
- **pN0 from MIRROR study: pN0 was upstaged in 22%, in central pathology review (Ann Oncol 2012)**
- **Ki-67:**
  - **Inter- and intraobserver variability in measurement of Ki-67 is high (J Nat. Cancer Institute 2011)**
  - **High reproducibility for low and high Ki-67 levels (J Pathol 2002)**
  - **Standardized methodology improves analytical validity (JNCI 2020)**

1. Gluz O, Nitz UA, Christgen M, et al. West German Study Group Phase III PlanB Trial: First Prospective Outcome Data for the 21-Gene Recurrence Score Assay and Concordance of Prognostic Markers by Central and Local Pathology Assessment. J Clin Oncol. 2016 Jul 10;34(20):2341-9.
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## Frühes Mammakarzinom (M0) – eBC

### Prognosefaktoren III

Faktor	Oxford		
	LoE	GR	AGO
▪ Genexpressionsprofile (GEP; Multigene Assays, Gensignaturen)			
▪ MammaPrint® (N0-1)	1b	A	+
▪ Oncotype DX® (N0-1, HR+, HER2-)	1b	A	+
▪ EndoPredict® (N0-1, HR+, HER2-)	2b	B	+
▪ Prosigna® (N0-1, HR+, HER2-)	2b	B	+
▪ Breast Cancer Index® (N0-1, HR+ HER2-)**	2b	B	+/-*
▪ PREDICT® Algorithmus ( <a href="https://breast.predict.nhs.uk/">https://breast.predict.nhs.uk/</a> )	1b	A	+
▪ Klinisch-pathologischer Score für lobuläres Mammakarzinom (Nodalstatus, Tumorgröße, Lymphgefäßinvasion LVI)	2b	B	+/-
▪ CTSS Clinical Treatment Score**	2b	B	+
▪ CPS-EG Score	2b	B	+

\* Sollten nur im Kontext der klinisch-pathologischen Faktoren (Tumorgröße, Nodalbefall, Grading, Ki-67, ER, PR, HER2) eingesetzt werden  
 \*\* Abschätzung des Spätrezidiv-Risikos

#### Gene expression profiles (GEP; Multigene Assays, Gene expression signatures)

(\*Should only be used in the context of clinico-pathological criteria (e.g. tumor size, number involved lymph nodes, grade, Ki67) for therapeutic decision making)

#### MammaPrint®

1. Slambrouck L, Darrigues L, Laurent C et al. Decentralization of Next-Generation RNA Sequencing-Based MammaPrint® and Blueprint® Kit at University Hospitals Leuven and Curie Institute Paris. Transl Oncol. 2019 Dec;12(12):1557-1565.
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## Frühes Mammakarzinom (M0) – eBC Prognosefaktoren IV

Faktor	Oxford		
	LoE	GR	AGO
▪ Disseminierte Tumorzellen (DTC, im Knochenmark)	1a	A	+/-
▪ Zirkulierende Tumorzellen (CTC, im Blut, Cell Search®) <sup>§</sup>	1b	A	+/-
▪ CTC vor NACT (in Bezug auf OS, DDFS, LRFI)	1b	B	+/-
▪ Therapieentscheidungen basierend auf CTC-Phänotypen	3a	C	-
▪ Cell-free DNA (cfDNA, im Blut, für DFS, PFS, OS)	2b <sup>a</sup>	B	+/-

<sup>§</sup> Validierte klinische Daten nur verfügbar für diesen Assay

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Commercially available molecular tests					
	70 gene signature (MammaPrint®) §	21 gene Recurrence score (Oncotype DX®) §	8 gene signature (Endopredict®) §	PAM 50 (Prosigna®) §	Breast Cancer Index® (BCI) §
Provider	Agendia	Genomic Health	Sividon (Myriad)	NanoString	Biotheranostics
Type of assay	70-gene assay	21-gene recurrence score	11-gene assay	50-gene assay	5 + 2 (MGI+H/I)
Type of tissue	fresh frozen (technical validation for FFPE available)	FFPE	FFPE	FFPE	FFPE
Technique	Microarrays for RNA	qRT-PCR	q-RT-PCR	Direct hybridization (nCounter®)	q-RT-PCR
Central lab	yes	yes	no	no	yes
Indication and population studied	prognostic N-/+, < 70 Jahre	prognostic N-/+, ER+ endocrine treated	prognostic (pre-) postmenopausal N-/+, ER+ HER2- endocrine treated	prognostic postmenopausal N-/+, ER+ HER2- endocrine treated	Prognostic pT1-3pN0 – pN1 ER+ / HER2- Endocrine treated
Risk classes	Low – high	RS (Low – intermediate – high)	Low – high	ROR (Low – intermediate – high), molecular types	Low - high
Clinical Validation	Yes	yes	yes	yes	Yes
Registration	FDA clearance as "In Vitro Diagnostic Multivariate Index Assay (IDMIA)" CE-Mark (fresh tissue and FFPE)	Clinical Laboratory Improvement Amendments (CLIA) + College of American Pathologists (CAP)-accredited ref lab	CE-Mark	CE-Mark FDA 510(k) Clearance	Service Mark (SM)

§ Validated clinical data only available for this assay

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	70 gene signature (MammaPrint®) §	21 gene Recurrence score (Oncotype DX®) §	8 gene signature (Endopredict®) §	PAM 50 (Prosigna®) §	Breast Cancer Index® (BCI)
Prognosis after 5 yrs (late recurrences)	not separately shown	yes	yes	yes	yes
Predictive impact (chemotherapy benefit)	poorly validated	yes	not shown	not shown	EAT after 5 yrs
Prospective- retrospective evidence (% of recruited patients)	Multicenter validation	NSABP B-14 (14%) NSABP B-20 (28%) ECOG 9127 SWOG 8814 (40%) ATAC (30%)	ABCSG 6 (19%) ABCSG 8 (36%) GEICAM-9906 (45%) ATAC (10%)	MA.12 (59%) MA.5 (66%) ABCSG 8 (44%) ATAC (16%)	TransATOM (11%)
Prospective evidence	MINDACT (N0, N1) (By DFS, OS)	TAILORx (9y DFS, OS), N0, RS≤25 vs. ≥ 26 PlanB (N0 highrisk/N+) (5y DFS, OS) RxPONDER (5y DFS, OS), N1, RS≤25 vs. ≥26 ADAPT (5y DFS, OS), N0-1, RS 0-11; RS12- 25/Ki67 response	—	—	—

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§ Validated clinical data only available for this assay

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## Prospective clinical trials (Oncotype DX® [TAILORx, PlanB, RxPONDER, ADAPT], MammaPrint® [MINDACT])

Prognosis in low-risk groups excellent for both tests: ~94% 5J. DFS with only adjuvant endocrine therapy (ET)

	TailorX	RxPONDER	PlanB	ADAPT	MINDACT
Follow-up	Median 90 months	Median 5.1 years	5-J-DFS	Median 60 months	Median 8.7 years (ASCO 2020)
Trial design (biomarker question)	pN0; Randomization RS 11-25 (+/- CTX)	pN1; Randomization RS0-25 (+/- CTX)	Prospective ODX testing: ET alone in RS 0-11 pN0-1	Non-inferiority (DFS) ET alone: RS 0-11 vs RS12-25/ET response	Prospectively defined 5y-DFS threshold for ET alone
Percentage clinically defined low-risk group	6615/9427 (70.2%, adj-online)	all 1-3 involved lymph nodes	all clinical CTX indication (pN0-1)	all clinical chemotherapy (CTX) indication (c/pN0-1)	3336/ 6693 (49.8%, adj-online)
Percentage high clinical risk and low genomic risk (clinical CTX indication)	16.7% (RS 0-10)	42.8% (RS 0-13)	15.3% (RS 0-11)	ET-trial (pN0-1): all RS 0-25, i.e. low genomic risk with ET alone	23.2% (high clinical/low genomic risk)
Test failure rate	n.r.	n.r.	2.9%	n.r.	26% (fresh frozen)
Percentage genomically intermediate-risk group (only for Oncotype DX, ODX)	69.1% (RS 11-25)	57.2% (RS 14-24)	60.4% (RS 12-25)	Included only RS 0-11 (37.9%) or RS 12-25/ET response (62.1%)	n.a.
Percentage genomically high-risk group (only for Oncotype DX)	14.3% (RS ≥ 26)	n.a.	24.3% (RS ≥ 26)	n.a.	27.0% (high clinical <u>and</u> high genomic risk)
10-year follow-up	n.r.	n.r.	n.r.	n.r.	n.r.

### Mammaprint

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5. Harbeck N, Gluz O, Kuemmel S, et al. Endocrine therapy alone in patients with intermediate or high-risk luminal early breast cancer (0-3 lymph nodes), Recurrence Score <26 and Ki67 response after preoperative endocrine therapy: Primary outcome results from the WSG-ADAPT HR+/HER2- trial. SABCS 2020, GS4-04.
6. Kalinsky K, Barlow WE, Meric-Bernstam F, et al. First results from a phase III randomized clinical trial of standard adjuvant endocrine therapy (ET) +/- chemotherapy (CT) in patients (pts) with 1-3 positive nodes, hormone receptor-positive (HR+) and HER2-negative (HER2-) breast cancer (BC) with recurrence score (RS) < 25: SWOG S1007 (RxPonder). SABCS 2020, GS3-00.

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## Adjuvante Endokrine Therapie

### Prädiktive Faktoren für DFS

Therapie	Faktor	Oxford		
		LoE	GR	AGO
• Endokrine Therapie	ER/PR Status [%]	1a	A	++
	IHC Färbeintensität (ER/PR)	1a	A	-
	Ki-67 nach 2-4 Wo präoperativer endokriner Therapie	1b	A	+
• Erweiterte endokrine Therapie (EAT)	Breast Cancer Index <sup>®</sup> (5 J. Let (MA.17) bzw. 5 J. Tam (aTTOM) nach 5 J. Tam)	2b	B	+/-
• Tamoxifen	CYP2D6 Polymorphismus	2b	B	-
• Ovarieller Ablation oder Funktionsunterdrückung	Menopausenstatus	1c	A	++
• Aromataseinhibitoren vs. Tamoxifen	Menopausenstatus	1c	A	++
	ER / PR / HER2 als Einzelmarker	1c	A	-
	Invasives lobuläres MaCa	2b	B	+
	Ki-67 hoch	2b	B	+/-
	Übergewicht (BMI > 30 kg/m <sup>2</sup> )	2b	B	+/-

#### General publications

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4. Harvey JM, Clark GM, Osborne CK, et al.: Estrogen receptor status by immunohistochemistry is superior to the ligand-binding assay for predicting response to adjuvant endocrine therapy in breast cancer. *J Clin Oncol* 17 (5): 1474-81, 1999.
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#### EAT

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1. Anders C, Marcom PK, Peterson B, et al. A pilot study of predictive markers of chemotherapy-related amenorrhea among premenopausal women with early stage breast cancer. *Cancer Invest.* 2008 Apr-May;26(3):286-95
2. Anderson RA, Cameron DA. Pretreatment serum anti-müllerian hormone predicts long-term ovarian function and bone mass after chemotherapy for early breast cancer. *J Clin Endocrinol Metab.* 2011 May;96(5):1336-43.

#### Body Mass Index

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### CYP2D6

1. Bezerra LS, Santos-Veloso MAO, Bezerra Junior NDS, et al. Impacts of Cytochrome P450 2D6 (CYP2D6) Genetic Polymorphism in Tamoxifen Therapy for Breast Cancer. *Rev Bras Ginecol Obstet*. 2018 Dec;40(12):794-799.
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5. Hertz DL, Kidwell KM, Hilsenbeck SG, et al. CYP2D6 genotype is not associated with survival in breast cancer patients treated with tamoxifen: results from a population-based study. *Breast Cancer Res Treat*. 2017 Nov;166(1):277-287.
6. Hwang GS, Bhat R, Crutchley RD, et al. Impact of CYP2D6 polymorphisms on endoxifen concentrations and breast cancer outcomes. *Pharmacogenomics J*. 2018 Apr;18(2):201-208.

## Adjuvante Chemo- und zielgerichtete Therapie

### Prädiktive Faktoren für DFS

Therapie	Faktor	Oxford		
		LoE	GR	AGO
■ Adjuvante Chemotherapie	uPA / PAI-1 (ELISA, Femtelle®)	1a	A	+/-
	70-Gen-Signature (Mammaprint)	1b	A	+
	21-Gen-Recurrence-Score (Oncotype DX®)	1b	A	+
	EPclin (EndoPredict®)	2b	B	+
	PAM-50 (Prosigna®)	2b	B	+
	Histologischer Typ (lobulär vs. NST)	2b	B	-
■ Anti-HER2-Therapie	HER2 (IHC, ISH)	1a	A	++

#### uPA/PAI-1

1. Harbeck N, Kates RE, Look MP, et al. Enhanced benefit from adjuvant systemic chemotherapy in breast cancer patients classified high-risk according to uPA and PAI-1 (n=3,424). *Cancer Res* 62 (16): 4617-22, 2002.
2. Harbeck N, Schmitt M, Meisner C, et al. Ten-year analysis of the prospective multicentre Chemo-NO trial validates American Society of Clinical Oncology (ASCO)-recommended biomarkers uPA and PAI-1 for therapy decision making in node-negative breast cancer patients. *Eur J Cancer*. 2013 May;49(8):1825-35.
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#### 70-Gene-Signature (Mammaprint®)

1. Cardoso F, van't Veer LJ, Bogaerts J, et al. 70-Gene Signature as an Aid to Treatment Decisions in Early-Stage Breast Cancer. *N Engl J Med*. 2016;375(8):717-729.
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3. Kalinsky K, Barlow WE, Meric-Bernstam F, et al. First results from a phase III randomized clinical trial of standard adjuvant endocrine therapy (ET) +/- chemotherapy (CT) in patients (pts) with 1-3 positive nodes, hormone receptor-positive (HR+) and HER2-negative (HER2-) breast cancer (BC) with recurrence score (RS) < 25: SWOG S1007 (RxPonder). SABCS 2020, GS3-00
4. Harbeck N, Gluz O, Kuemmel S, et al. Endocrine therapy alone in patients with intermediate or high-risk luminal early breast cancer (0-3 lymph nodes), Recurrence Score <26 and Ki67 response after preoperative endocrine therapy: Primary outcome results from the WSG-ADAPT HR+/HER2- trial. SABCS 2020, GS4-04.

#### EPclin (EndoPredict®)

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#### PAM-50 (Prosigna®)

1. Prat A, Galván P, Jimenez B et al. Prediction of Response to Neoadjuvant Chemotherapy Using Core Needle Biopsy Samples with the Prosigna Assay. *Clin Cancer Res.* 2016 Feb 1;22(3):560-6.
2. Jensen MB, Lænkholm AV, Nielsen TO et al. The Prosigna gene expression assay and responsiveness to adjuvant cyclophosphamide-based chemotherapy in premenopausal high-risk patients with breast cancer. *Breast Cancer Res.* 2018 Jul 27;20(1):79.

#### Histological type:

1. De Nonneville A, Jauffret C, Goncalves A. Adjuvant chemotherapy in lobular carcinoma of the breast: a clinicopathological score identifies high-risk patient with survival benefit *Breast Cancer Res Treat.* 2019 Jun;175(2):379-387.
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Anti-HER2 therapy

see evidence in chapter “Chemotherapy and targeted therapy”

## Neoadjuvante Chemotherapie (NACT) Prädiktive Faktoren für pCR I

Faktor	pCR* Wahrscheinlichkeit	Oxford		
		LoE	GR	AGO
▪ Junges Alter	↑	1a	A	+
▪ cT1 / cT2-Tumoren o. N0 o. G3	↑↑	1a	A	++
▪ Negativer ER- und PR-Status	↑↑	1a	A	++
▪ Triple negative (TNBC)	↑↑	1a	A	++
▪ Positiver HER2-Status	↑↑	1a	A	++
▪ Frühes klinisches Ansprechen	↑	1b	A	+
▪ Invasives lobuläres Karzinom	↓	1a	A	+
▪ Metaplastisches Karzinom	↓↓	4	C	+

\* Hohe (↑) oder sehr hohe (↑↑) Wahrscheinlichkeit einer pCR, niedrigere (↓) oder sehr niedrige (↓↓) Wahrscheinlichkeit einer pCR

### General evidence

1. Cortazar P, Zhang L, Untch M, et al. Pathological complete response and long-term clinical benefit in breast cancer: the CTNeoBC pooled analysis. Lancet 2014;384: 164-72.
2. Gerber B, Loibl S, Eidtmann H, et al. Neoadjuvant bevacizumab and anthracycline-taxane-based chemotherapy in 678 triple-negative primary breast cancers; results from the geparquinto study (GBG 44). Ann Oncol 2013;24: 2978-84.
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4. von Minckwitz G, Eidtmann H, Rezai M, et al. Neoadjuvant chemotherapy and bevacizumab for HER2-negative breast cancer. N Engl J Med 2012;366: 299-309.
5. von Minckwitz G, Untch M, Blohmer JU, et al. Definition and impact of pathologic complete response on prognosis after neoadjuvant chemotherapy in various intrinsic breast cancer subtypes. J Clin Oncol 2012;30: 1796-804.

### Lobular cancer

1. Loibl S, Volz C, Mau C, et al. Response and prognosis after neoadjuvant chemotherapy in 1,051 patients with infiltrating lobular breast carcinoma. Breast Cancer Res Treat 2014;144: 153-62.

### Metaplastic breast cancer

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2. Tzanninis IG, Kotteas EA, Ntanasis-Stathopoulos I et al. Management and Outcomes in Metaplastic Breast Cancer. *Clin Breast Cancer*. 2016 Dec;16(6):437-443.
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# Neoadjuvante Chemotherapie (NACT)

## Prädiktive Faktoren für pCR II

Faktor	pCR* Wahrscheinlichkeit	Oxford		
		LoE	GR	AGO
■ <b>Genexpressions-Profil (Gensignaturen)</b> (Mammaprint®, Endopredict®, Oncotype DX®, Prosigna®, Breast Cancer Index <sup>SM</sup> )	↑	2b	B	+/-
■ <b>Ki-67</b>	↑	2b	B	+
■ <b>Tumor-infiltrierende Lymphozyten**</b>	↑	2a	B	+
■ <b>PIK3CA Mutation (für HER2-positives MaCa)</b>	↑	2a	B	+/-
■ <b>gBRCA Mutation (für Effekt der Chemotherapie)</b>	↑	2b	B	+
■ <b>gBRCA Mutation (für Platin-Effekt)</b>	↔	2b	B	+/-

\* Hohe (↑) oder sehr hohe (↑↑) Wahrscheinlichkeit einer pCR, niedrigere (↓) oder sehr niedrige (↓↓) Wahrscheinlichkeit einer pCR

\*\* Definiert als dichte lymphozytäre Infiltration des inneren peritumoralen Stromas außerhalb der Invasionsfront (Stroma besteht mit > 50% aus Lymphozyten)

### TIL

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7. Fan H, Li C, Xiang Q, et al. PIK3CA mutations and their response to neoadjuvant treatment in early breast cancer: A systematic review and meta-analysis. Thorac Cancer. 2018 May;9(5):571-579.

8. Zardavas D, Te Marvelde L, Milne RL, et al. Tumor PIK3CA Genotype and Prognosis in Early-Stage Breast Cancer: A Pooled Analysis of Individual Patient Data. J Clin Oncol. 2018 Apr 1;36(10):981-990.

### gBRCA bei TNBC

1. Loibl S, Weber KE, Timms KM et al. Survival analysis of carboplatin added to an anthracycline/taxane-based neoadjuvant chemotherapy and HRD score as predictor of response-final results from GeparSixto. Ann Oncol. 2018 Dec 1;29(12):2341-2347.

# Metastasiertes Mammakarzinom (mBC)

## Prognosefaktoren

Faktor	Oxford		AGO
	LoE	GR	
■ <b>Zirkulierende Tumorzellen (CTC im Blut, Cell Search®)</b>			
■ <b>Prognose</b>	1a	A	+
■ <b>Frühes Therapieansprechen (3 Wo.)</b>	1b	B	+
■ <b>Therapieentscheidungen basiert auf CTC-Anzahl oder CTC-Phänotypen</b>	1b	A	-*
■ <b>Cell-free DNA (cfDNA/ctDNA im Blut)</b>	2a	A	+/-

\* Studienteilnahme empfohlen

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### CTC

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#### Cell-free DNA

1. Cheng J, Holland-Letz T, Wallwiener M, et al. Circulating free DNA integrity and concentration as independent prognostic markers in metastatic breast cancer. *Breast Cancer Res Treat.* 2018 May;169(1):69-82.
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# Metastasiertes Mammakarzinoms (mBC)

## Prädiktive Faktoren für Ansprechen

Therapie	Faktor	Oxford		
		LoE	GR	AGO
▪ Endokrine Therapie	ER/PR (Primärtumor, besser Metastase)	1a	A	++
	Ansprechen auf vorherige Therapie	2b	B	++
	autokrine Rezeptormutation (ESR1)	2b	B	+
▪ Alpelisib	PIK3CA Mutation (Primärtumor, Metastase, Plasma)	1b	A	++
▪ Chemotherapie	Ansprechen auf vorherige Therapie	1b	A	++
▪ Anti-HER2- Therapie	HER2 (Primärtumor, besser Metastase)	1a	A	++
▪ Checkpoint-Inhibitoren	PD-L1 positivity <sup>#</sup> (PD-L1ic, CPS) in TNBC (primary tumor or metastasis)	1b	B	++
▪ PARP-Inhibitoren	gBRCA1/2-Mutation	1a	A	++
▪ Bone modifying drugs	Knochenmetastasen	1a	A	++
▪ Beliebige Therapie	CTC monitoring	1b	A	+*

\* In klinischen Studien; # Siehe auch Kapitel „Pathologie“

### +Endocrine therapy

Campbell FC, Blamey RW, Elston CW, et al. Quantitative oestradiol receptor values in primary breast cancer and response of metastases to endocrine therapy. *Lancet*. 1981;2(8259):1317–1319.

### Endocrine therapy - ESR1:

1.Dustin D, Gu G, Fuqua SAW (2019) ESR1 mutations in breast cancer. *Cancer* 125:3714-3728 doi: 10.1002/cnrc.32345.

2.Fribbens C, Garcia Murillas I, Beaney M et al. (2018) Tracking evolution of aromatase inhibitor

### Anti-HER2-Therapy

Seidman AD, Fornier MN, Esteva FJ, et al. Weekly trastuzumab and paclitaxel therapy for metastatic breast cancer with analysis of efficacy by HER2 immunophenotype and gene amplification. *J Clin Oncol*. 2001;19(10):2587–2595.

### Checkpoint-Inhibitors

- 1.Schmid P, Adams S, Rugo HS, et al. Atezolizumab and Nab-Paclitaxel in Advanced Triple-Negative Breast Cancer. *N Engl J Med*. 2018 Nov 29;379(22):2108-2121.
- 2.Cortes J, Cescon DW, Rugo HS et al.: KEYNOTE-355 Investigators. Pembrolizumab plus chemotherapy versus placebo plus chemotherapy for previously untreated locally recurrent inoperable or metastatic triple-negative breast cancer (KEYNOTE-355): a randomised, placebo-controlled, double-blind, phase 3 clinical trial. *Lancet*. 2020 Dec 5;396(10265):1817-1828.

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### Bone modifying drugs

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## Mutationsdiagnostik\* bei mBC: „Precision medicine“ für zielgerichtete Therapien

Alteriertes Gen	Therapierelevanz	Genregion	Ausgangsmaterial	Oxford		AGO
				LOE	GR	
BRCA1, BRCA2	PARP Inhibitor	Alle Exons	Keimbahn: Blutzellen	1b	A	++
			Somatisch: Gewebe	2b	B	+/-
PIK3CA	Alpelisib	Exon 7,9 und 20	Primärtumor, Metastasen, Plasma	1b	A	++
HER2-Mutation (unabh. vom HER2-Status)	Neratinib, Lapatinib	Kinase- und extrazelluläre Domänen; S310, L755, V777, Y772_A775dup	Primärtumor, Metastasen, Plasma; insbes. lobuläres CA	4	C	+/-
ESR1	Resistenz gegenüber AI	Exon 4,7 und 8	Metastasen, Plasma	2b	B	+/-
NTRK Genfusion	Larotrectinib, Entrectinib	Fusions- und Spleißvarianten	Tumor, insbes. sekretor. MaCa	2a	B	+
MSI	Pembrolizumab	Mikrosatelliten-Instabilität	Gewebe	2a	B	+

\* idealerweise Paneldiagnostik

### BRCA 1/2:

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### PIK3CA:

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Negative, Hormone Receptor-Positive Advanced Breast Cancer. Clin Cancer Res. 2018 Sep 15;24(18):4380-4387.

#### HER2-Mutation:

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#### ESR1:

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2. Fribbens C, Garcia Murillas I, Beaney M et al. (2018) Tracking evolution of aromatase inhibitor resistance with circulating tumour DNA analysis in metastatic breast cancer. Ann Oncol.29:145-153. doi: 10.1093/annonc/mdx483
3. Fribbens C, O'Leary B, Kilburn L et al. (2016) Plasma ESR1 Mutations and the Treatment of Estrogen Receptor-Positive Advanced Breast Cancer. J Clin Oncol. 34:2961-8. doi: 10.1200/JCO.2016.67.3061

#### NTRK:

1. Cocco E, Scaltriti M, Drilon A (2018) NTRK fusion-positive cancers and TRK inhibitor therapy. Nat Rev Clin Oncol. 15(7):731-747. doi: 10.1038/s41571-018-0113-0.

#### MSI:

FDA approval across tumor entities (23.5.17): see full prescribing information for pembrolizumab

## Therapierelevante Mutationsdiagnostik beim Mammakarzinom („actionable“)

Therapie*	Faktor	Oxford		
		LoE	GR	AGO
Aus Studien bei anderen Karzinomen („tumoragnostische Testung“)				
▪ Companion Diagnostics Mutations bei Therapien für andere Karzinome (z.B. BRAF, FGFR1, ...)	Effektivität verschiedener Medikamente	4	D	+/-**
▪ Large Panel Gene Analysis (e.g. FoundationOne, GPS Cancer, NeoSelect, Molecular Health Guide, lokale „hand selected„ Panels)	Effektivität verschiedener Medikamente, Prognose	3a	C	+/-**

\* Bestimmungsmethode somatischer Veränderungen nicht bewertet. Prinzipiell möglich aus Tumorfrischmaterial, Paraffin-Gewebe, zirkulierenden Nukleinsäuren

\*\* Teilnahme an Studien oder strukturierten Programmen empfohlen

### NGS in breast cancer:

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2. André F, Bachelot T, Commo F, et al. Comparative genomic hybridisation array and DNA sequencing to direct treatment of metastatic breast cancer: a multicentre, prospective trial (SAFIR01/UNICANCER). Lancet Oncol. 2014 Mar;15(3):267-74.
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